



### Industry 5.0 is here ...













Industry 1.0

1800

mechanization, water and steam powers

Industry 2.0

1900

mass production, electric power, assembly line

Industry 3.0

2000

computers, automated production, electronics

Industry 4.0

2010

cyber-physical systems, IoT, networking, machine learning Industry 5.0

2020

human-robot collaboration, cognitive systems, customization

Source BOKU Forest Engineering, 2022

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### **Human-Centered AI (HCAI):=**



a synergistic approach to align AI with human values, ethical principles, and legal requirements to ensure security, safety and trust - to foster "One Health"















**GOALS** 







Andreas Holzinger, Edgar Weippl, A Min Tjoa & Peter Kieseberg (2021). Digital Transformation for Sustainable Development Goals (SDGs) - a Security, Safety and Privacy Perspective on Al. Springer Lecture Notes in Computer Science, LNCS 12844. Cham: Springer, pp. 1--20, doi:10.1007/978-3-030-84060-0\_1.

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### **Acknowledgements**



- FWF P-32554 xAI A reference model of explainable Artificial Intelligence
- EU RIA 826078 FeatureCloud Trusted digital solutions and Cybersecurity in Health
- EU RIA 874662 HEAP Human Exposome: digital toolbox for assessing and addressing environmental impact on health
- FFG 879881 EMPAIA Digital Ecosystem for Pathology Diagnostics with Al Assistance





Horizon 2020 European Union funding for Research & Innovation Österreichische Forschungsförderungsgesellschaft

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#### **Agenda**



- (1) Advances in statistical data-driven machine learning makes Al popular again
- (2) For many applications explainability and robustness are major challenges
- (3) Correlation is not causality, and a human-in-the-loop can (sometimes - not always) bring-in experience and conceptual understanding
- (4) Explainability methods allow to understand why and how a result was achieved
- (5) Causability measures the quality of explanations obtained by (4)

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# (1) Statistical data-driven machine learning

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#### **Machine Learning 101**

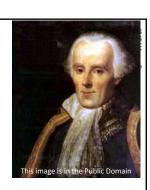
$$\mathcal{D}$$
 ... data  $\mathcal{D}=x_{1:n}=\{x_1,x_2,...,x_n\}$ 

$$heta$$
 ... features prior:  $p( heta)$  likelihood:  $p(\mathcal{D}| heta)$ 

$$p(\theta|\mathcal{D}) = \frac{p(\mathcal{D}|\theta) * p(\theta)}{p(\mathcal{D})}$$

Posterior  $\approx p(x)$  of  $\Theta$  after seen ("learned")  $\mathcal{D}$ :  $p(\theta|\mathcal{D})$ 

### The inverse probability allows us to infer unknowns and to make predictions ...

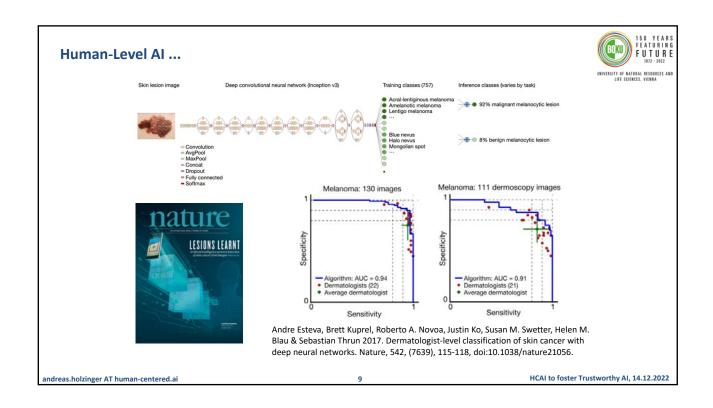


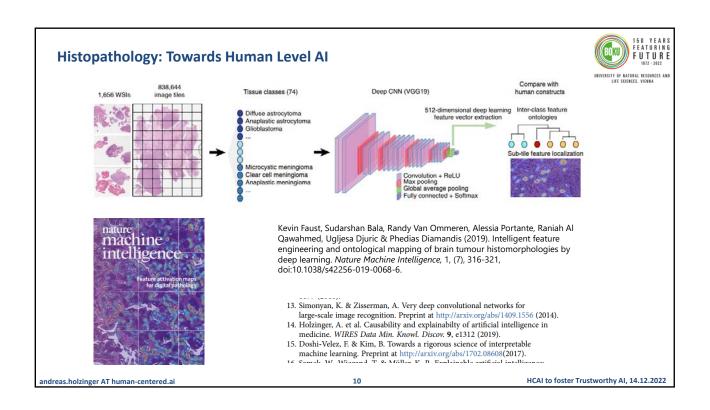
"Il est remarquable qu'une science qui a commencé avec l'ère la prise en compte des jeux de hasard ... aurait dû devenir l'objet le plus important de la connaissance humaine." Laplace (1781)

Andreas Holzinger (2019). Introduction to Machine Learning & Knowledge Extraction. Machine Learning & Knowledge Extraction, 1, 1, 1--20, doi:10.3390/make1010001

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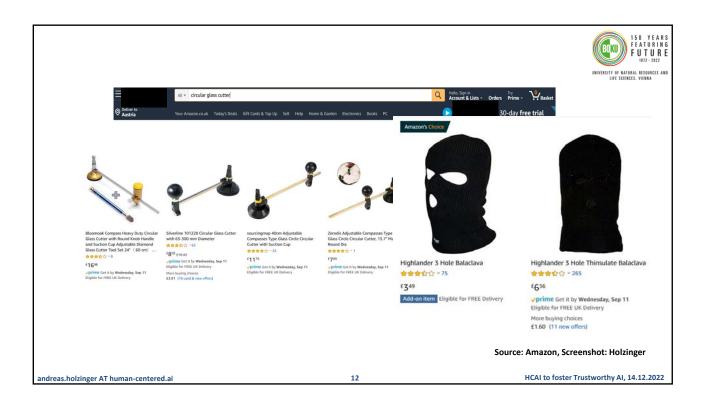




## (2) Explainability and Robustness

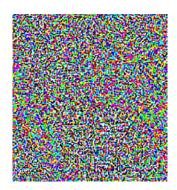
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x classified as stop sign with 57,7 % confidence



 $sign(\nabla_x J(\Theta, x, y))$ 



 $x + \\ \epsilon sign(\nabla_x J(\Theta, x, y)) \\ \text{classified as} \\ \text{max. 100 km/h sign} \\ \text{with 99,3 \% confidence}$ 

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### State-of-the-art embodied intelligence





Source: Abbeel, 2021



Xiaofei Wang, Kimin Lee, Kourosh Hakhamaneshi, Pieter Abbeel & Michael Laskin. Skill preferences: Learning to extract and execute robotic skills from human feedback. 5th Conference on Robot Learning (CoRL 2021), (2022) London (UK).

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# The world best algorithms are lacking robustness

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#### What do we need to reach robustness



- 1) learning from (little) real-world data
- 2) extracting relevant knowledge
- 3) generalize
- 4) fight the curse of dimensionality
- 5) disentangle **independent** explanatory factors of data, i.e.
- 6) causal understanding of the data in the context of an application domain

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## How do we solve this problem?

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# (3) Correlation ≠ Causality and the Human-in-the-loop

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### Correlation does not tell anything about causality!

- Hans Reichenbach (1891-1953): **Common Cause Principle** Links causality with probability:
  - If A and B are statistically dependent, there is a C influencing both
  - Whereas:
  - A, B, C ... events
  - p ... probability density

Hans Reichenbach 1956. The direction of time (Edited by Maria Reichenbach), Mineola, New York, Dover.

Hitchcock, Christopher and Miklós Rédei, "Reichenbach's Common Cause Principle", The Stanford Encyclopedia of Philosophy (Spring 2020 Edition), Edward N. Zalta (ed.), Online available: <a href="https://plato.stanford.edu/archives/spr2020/entries/physics-Rpcc">https://plato.stanford.edu/archives/spr2020/entries/physics-Rpcc</a>



$$p(A \cap B) > p(A)p(B)$$

$$p(A\cap B|C)=p(A|C)p(B|C)$$

$$p(A\cap B|\overline{C})=p(A|\overline{C})p(B|\overline{C})$$

$$p(A|C) > p(A|\overline{C})$$

$$p(B|C) > p(B|\overline{C})$$

$$p(X|Y) \doteq rac{p(X \cap Y)}{p(Y)}$$

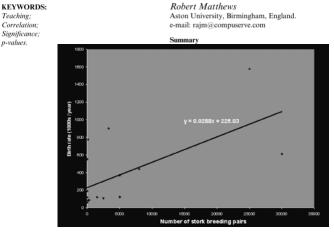
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Teaching; Correlation; Significance; p-values.

#### **Remember: Correlation is NOT Causality**

Storks Deliver Babies (p = 0.008)

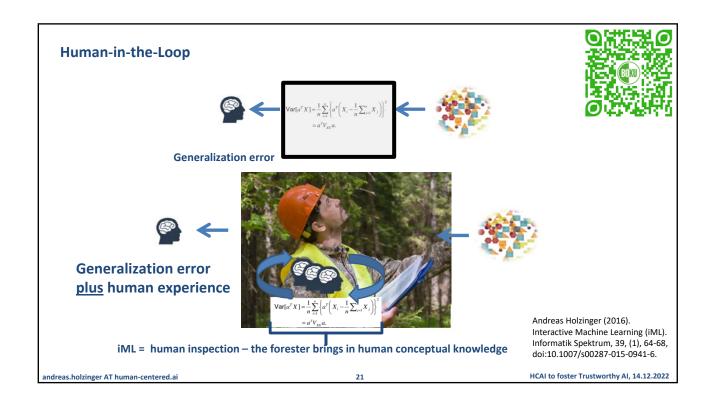




Country	(km <sup>2</sup> )	(pairs)	(10 <sup>6</sup> )	(10 <sup>3</sup> /yr)
Albania	28,750	100	3.2	83
Austria	83,860	300	7.6	87
Belgium	30,520	1	9.9	118
Bulgaria	111,000	5000	9.0	117
Denmark	43,100	9	5.1	59
France	544,000	140	56	774
Germany	357,000	3300	78	901
Greece	132,000	2500	10	106
Holland	41,900	4	15	188
Hungary	93,000	5000	- 11	124
Italy	301,280	5	57	551
Poland	312,680	30,000	38	610
Portugal	92,390	1500	10	120
Romania	237,500	5000	23	367
Spain	504,750	8000	39	439
Switzerland	41,290	150	6.7	82
Turkey	779,450	25,000	56	1576

Robert Matthews 2000. Storks deliver babies (p= 0.008). Teaching Statistics, 22, (2), 36-38.

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## What is the human supposed to do?

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### Humans can generalize from very few examples





Humans use abstract concepts

- Source: Public Domain, freedesignfile.com
- Humans can make inference from little, noisy, incomplete data
- Humans can set the prior: finding shared underlying explanatory factors, in particular between P(x) and P(Y|X), with a causal link between  $Y \rightarrow X$

Brenden M. Lake, Ruslan Salakhutdinov & Joshua B. Tenenbaum (2015). Human-level concept learning through probabilistic program induction. Science, 350, (6266), 1332-1338, doi:10.1126/science.aab3050.

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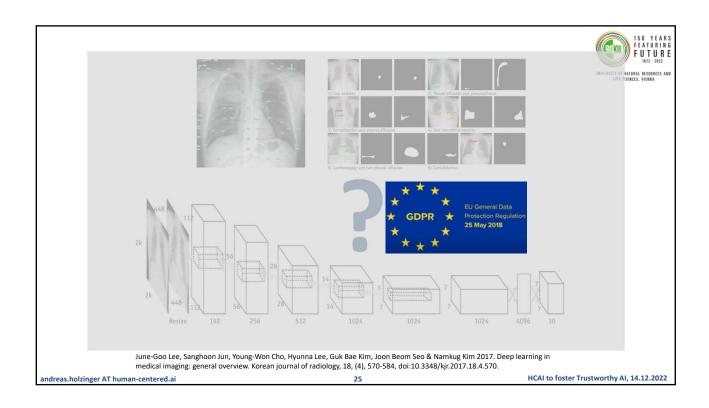
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### However, there is another problem

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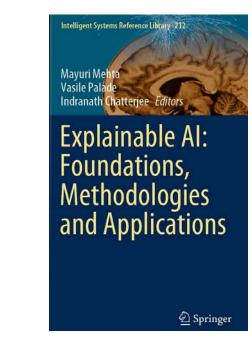




### (4) Methods of Explainability

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Dr Mayuri Mehta









Vasile Palade · 1st Professor of Artificial Intelligence and Data Science

Mayuri Mehta, Vasile Palade & Indranath Chatterjee (eds.) (2023). Explainable AI: Foundations, Methodologies and Applications Cham: Springer, doi:10.1007/978-3-031-12807-3.

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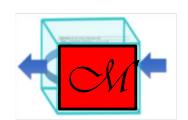
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Interpretable Models, = ante-hoc - the "glass-box" model itself is ante-hoc interpretable, e.g., Regression, Naïve Bayes, Decision Trees, Graphs, ...



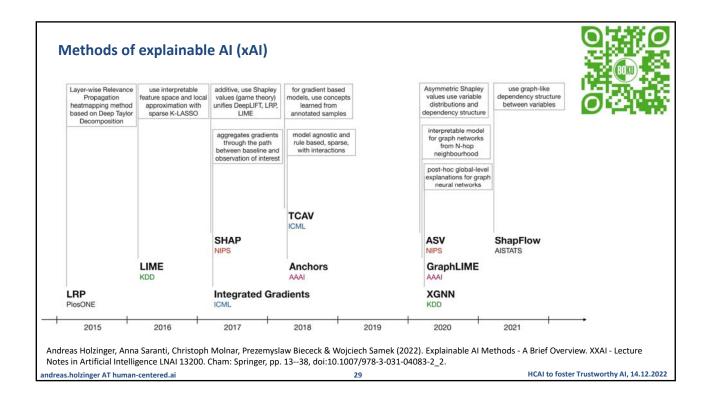
■ Interpreting Black-Box Models, = post-hoc - the model is not interpretable and needs a posthoc interpretability method M

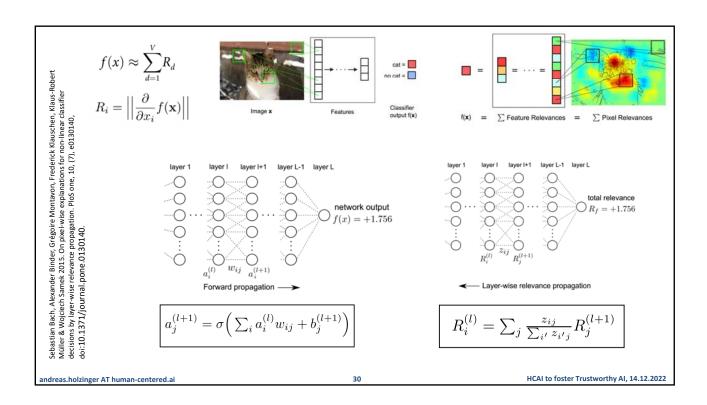


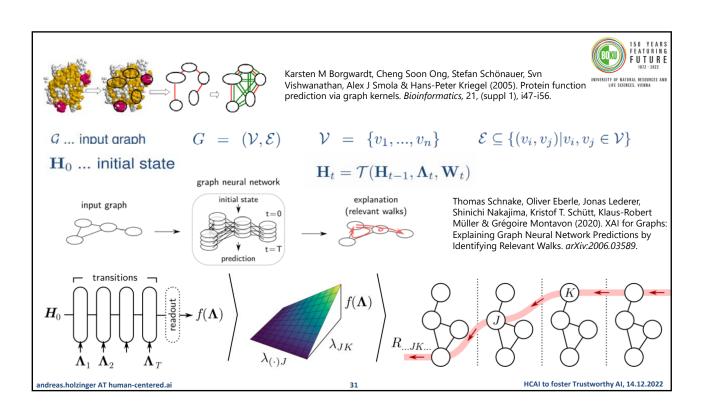
Andreas Holzinger, Chris Biemann, Constantinos S. Pattichis & Douglas B. Kell 2017. What do we need to build explainable Al systems for the medical domain? arXiv:1712.09923.

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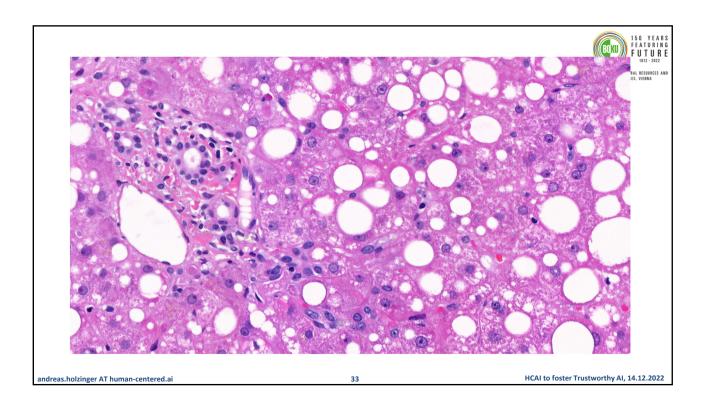




# (5) Causability measures the quality of explanations obtained from (4).

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#### **Ground truth**

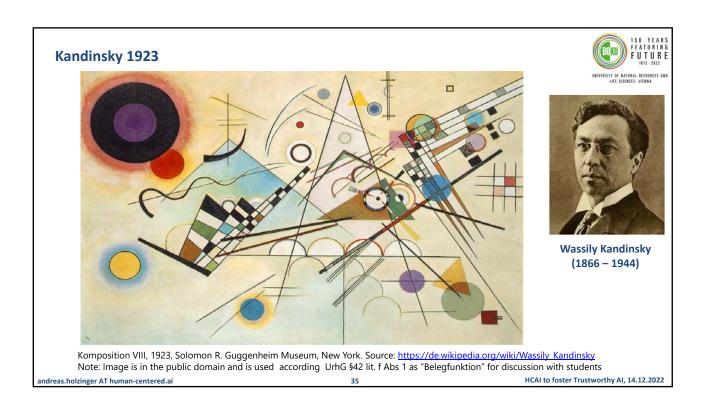


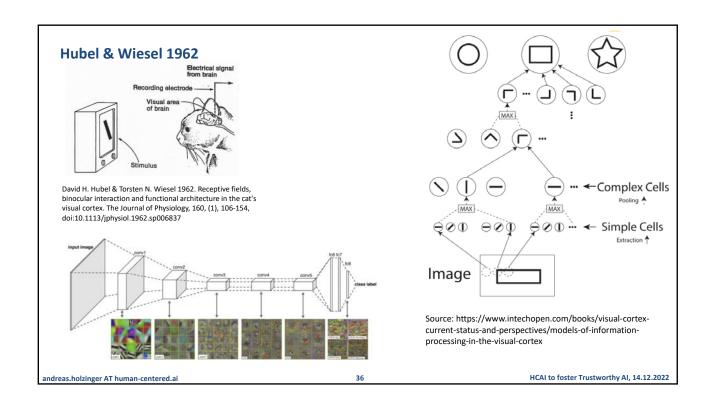
- := information provided by direct observation (empirical evidence)
   in contrast to information provided by inference
  - Empirical evidence = information acquired by observation or by experimentation in order to verify the truth (fit to reality) or falsify (non-fit to reality).
  - Empirical inference = drawing conclusions from empirical data (observations, measurements)
  - Causal inference = drawing conclusions about a causal connection based on the conditions of the occurrence of an effect
  - Causal machine learning is key to ethical AI in health to model explainability for bias avoidance and algorithmic fairness for decision making

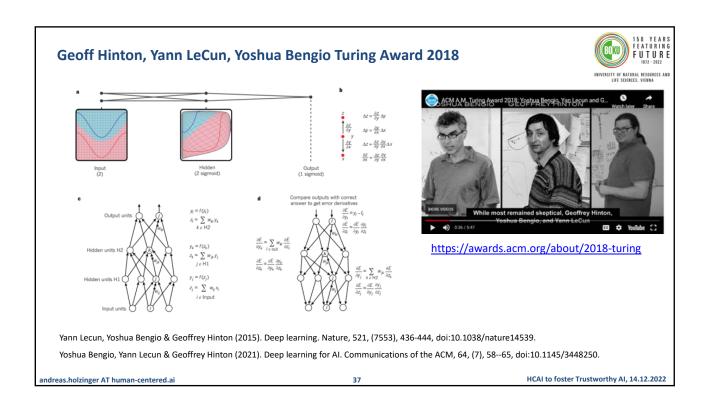
Federico Cabitza, Andrea Campagner, Gianclaudio Malgieri, Chiara Natali, David Schneeberger, Karl Stoeger & Andreas Holzinger (2023). Quod erat demonstrandum?-Towards a typology of the concept of explanation for the design of explainable Al. Expert Systems with Applications, 213, (3), doi:10.1016/j.eswa.2022.118888.

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## How do humans explain?

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### **Definition 1: A Kandinsky Figure is ...**

- ... a square image containing 1 to n geometric objects.
- Each object is characterized by its shape, color, size and position w
- Objects do not overlap and are not cropped at the border.
- All objects must be easily recognizable and clearly distinguishable



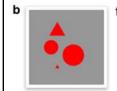
Heimo Mueller & Andreas Holzinger (2021). Kandinsky Patterns. Artificial intelligence, 300, (11), 103546, doi:10.1016/j.artint.2021.103546.

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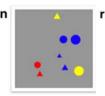
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#### **Definition 2 A statement** s(k)

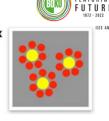










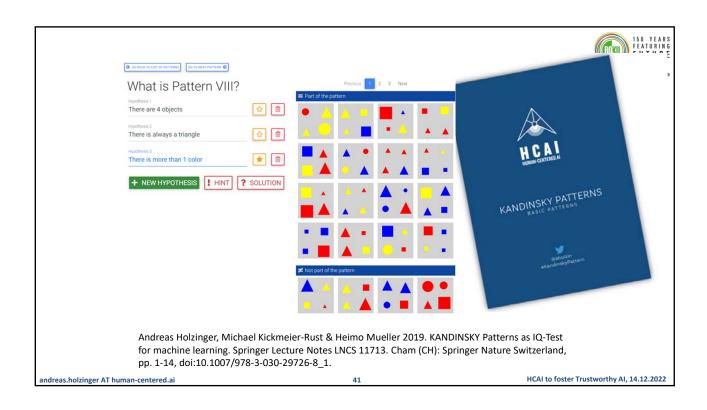


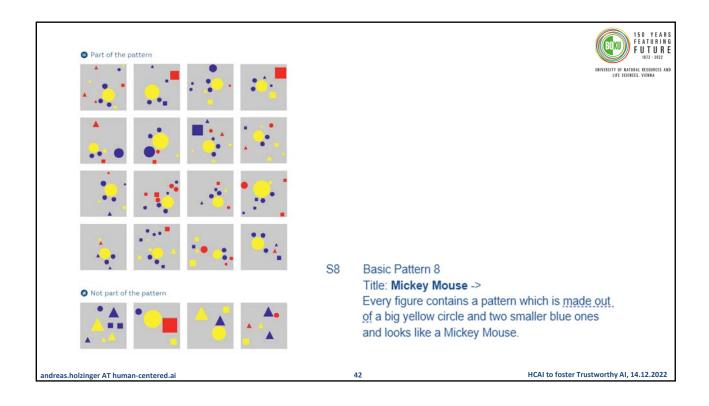
- about a Kandinsky Figure k is ...
- either a mathematical function  $s(k) \rightarrow B$ ; with B(0,1)
- or a natural language statement which is true or false
- The evaluation of a natural language statement is always done in a specific context.
- we follow well known concepts from human perception and linguistic theory.
- If s(k) is given as an algorithm, it is essential that the function is a pure function, which is a computational analogue of a mathematical function.

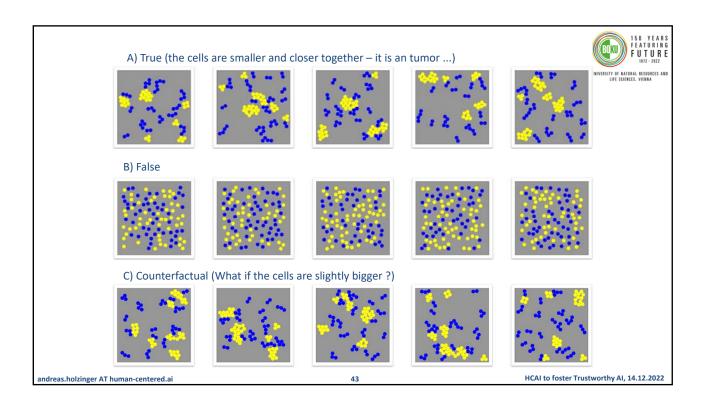
Holzinger, A. & Müller, H. 2020. Verbinden von Natürlicher und Künstlicher Intelligenz: eine experimentelle Testumgebung für Explainable AI (xAI). HMD Praxis der Wirtschaftsinformatik, 57, (1), 33-45, doi:10.1365/s40702-020-00586-y

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### Related Work (citations as of 14.12.2022)



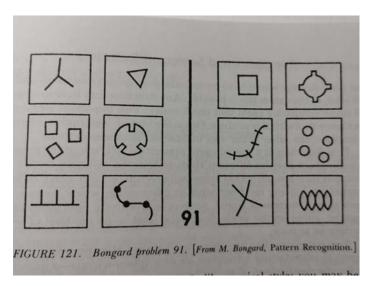
- Bongard-Problems (1967) 68 (Hofstadter (1979) 7990)
- CLEVR (2017) 1622
- Shapeworld (2017) 48
- CLEVR-Humans (2017) 499
- SCOOP (2018) 127
- CLEVRER (2019) -232
- RAVEN (from Raven Progressive Matrices (RPM)) (2019) 131
- Bongard Logo (2020) 26
- CURI (2021) 14
- CLEVRER-Humans (2022) 0
- CLEVER-XAI (2022) 23
- Super-CLEVR (2022) 0

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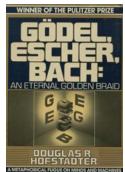
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### **Bongard Problems**





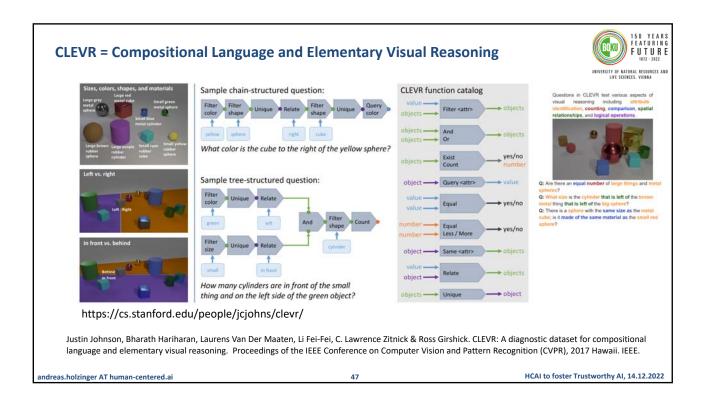


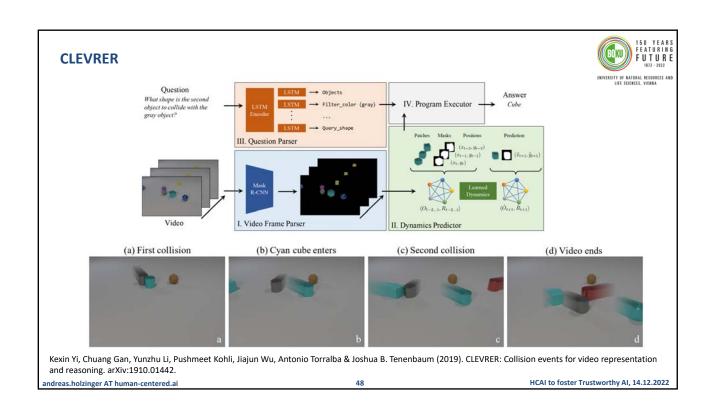
Douglas R. Hofstadter (1979) Gödel, Escher, Bach: An Eternal Golden Braid, New York: Basic Books.

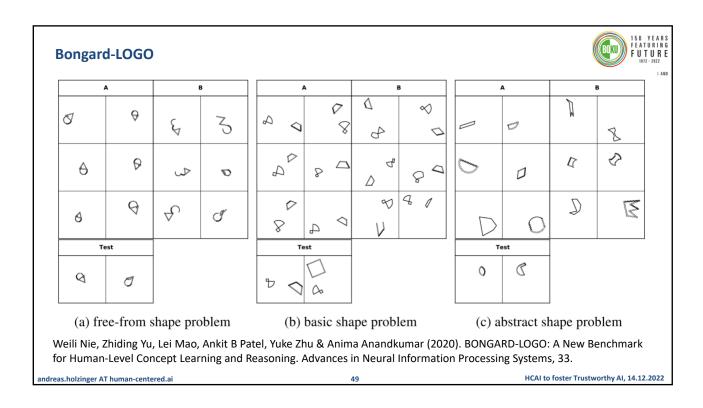
Bongard, M. Mikhail, 1967. The problem of recognition (in Russian), Moscow, Nauka (1970 in English)

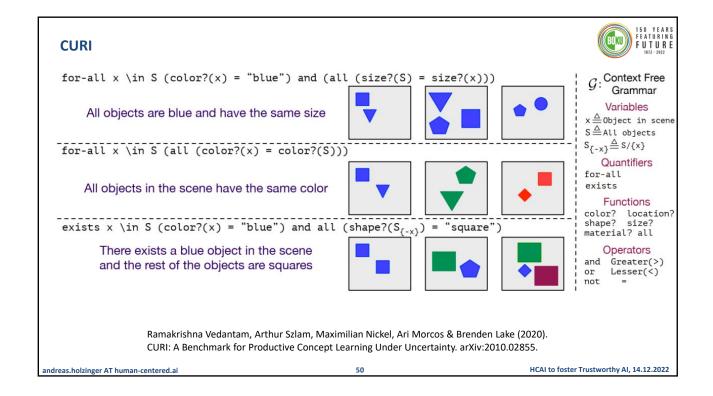
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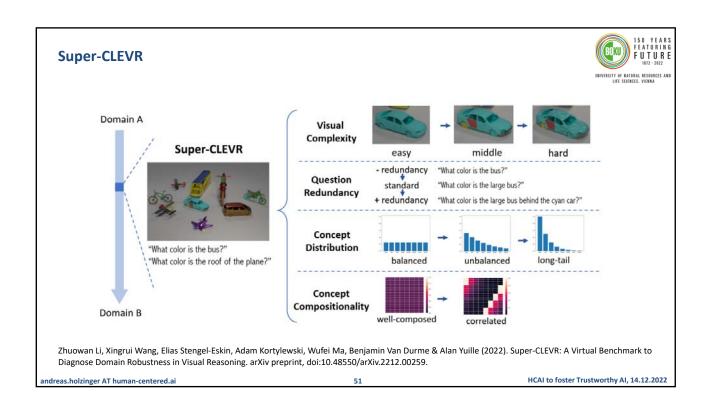
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### Measuring the quality of Explanations: The Systems Causability Scale

Andreas Holzinger, Andre Carrington & Heimo Müller 2020. Measuring the Quality of Explanations: The System Causability Scale (SCS). Comparing Human and Machine Explanations. KI - Künstliche Intelligenz (German Journal of Artificial intelligence), Special Issue on Interactive Machine Learning, Edited by Kristian Kersting, TU Darmstadt, 34, (2), doi:10.1007/s13218-020-00636-z

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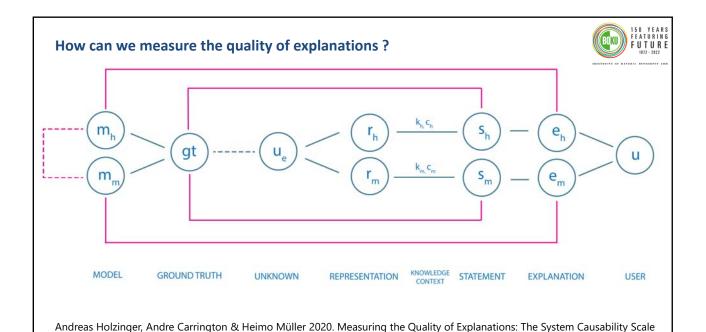


- Causability is neither a typo nor a synonym for Causality
- Causa-bil-ity ... in reference to ... Usa-bil-ity.
- While xAI is about implementing transparency and traceability, Causability is about the measurement of the quality of explanations.
- **Explainability** := technically highlights decision relevant parts of machine representations and machine models i.e., parts which contributed to model accuracy in training, or to a specific prediction.
  - Explainability does not refer to a human model!
- Causability := the measurable extent to which an explanation of a statement to a user achieves a specified level of causal understanding with effectiveness, efficiency, satisfaction in a specified context of use.
  - Causability does refer to a human model!

Andreas Holzinger, Georg Langs, Helmut Denk, Kurt Zatloukal & Heimo Mueller 2019. Causability and Explainability of Artificial Intelligence in Medicine. Wiley Interdisciplinary Reviews: Data Mining and Knowledge Discovery, 9, (4), doi:10.1002/widm.1312.

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(SCS). Comparing Human and Machine Explanations. KI - Künstliche Intelligenz (German Journal of Artificial intelligence), Special Issue on Interactive Machine Learning, Edited by Kristian Kersting, TU Darmstadt, 34, (2), doi:10.1007/s13218-020-00636-z. HCAI to foster Trustworthy Al. 14.12.2022

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### **Explainability is the first step**

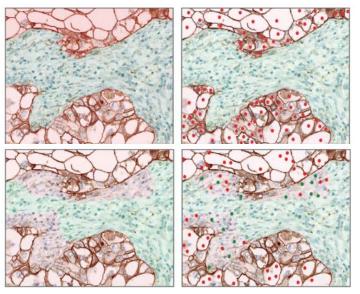
Andreas Holzinger, Chris Biemann, Constantinos S. Pattichis & Douglas B. Kell 2017. What do we need to build explainable AI systems for the medical domain? arXiv:1712.09923.

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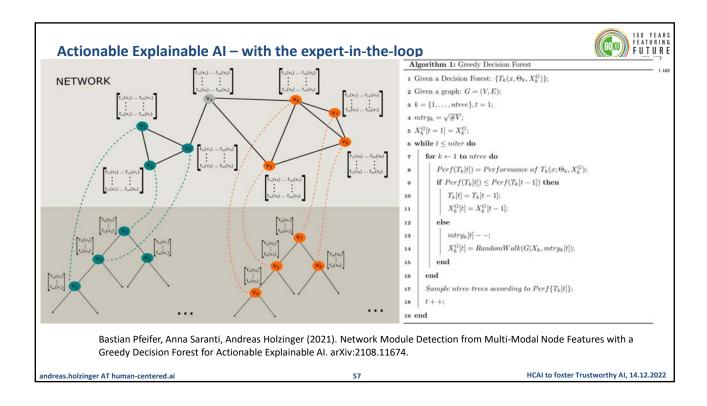
### **Example**



Andreas Holzinger & Heimo Mueller (2021). Toward Human-Al Interfaces to Support Explainability and Causability in Medical Al. *IEEE COMPUTER*, 54, (10), doi:10.1109/MC.2021.3092610.

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### **Conclusio**

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# Explainability needs a framework to ensure common understanding and adaptive Question/Answering Interfaces

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